



# **Directorate of Defense Trade Controls Overview**

*India Space Conference 2022*

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# Department of State – T Family



**Under Secretary for Arms  
Controls & International  
Security (T)**  
Ambassador Bonnie Jenkins



**Assistant Secretary for  
International Security and Non-  
Proliferation (ISN)**  
C.S. Eliot Kang

**Assistant Secretary  
for  
Political-Military Affairs (PM)**  
Jessica Lewis

**Assistant Secretary for  
Arms Control, Verification, and  
Compliance (AVC)**  
Mallory Stewart



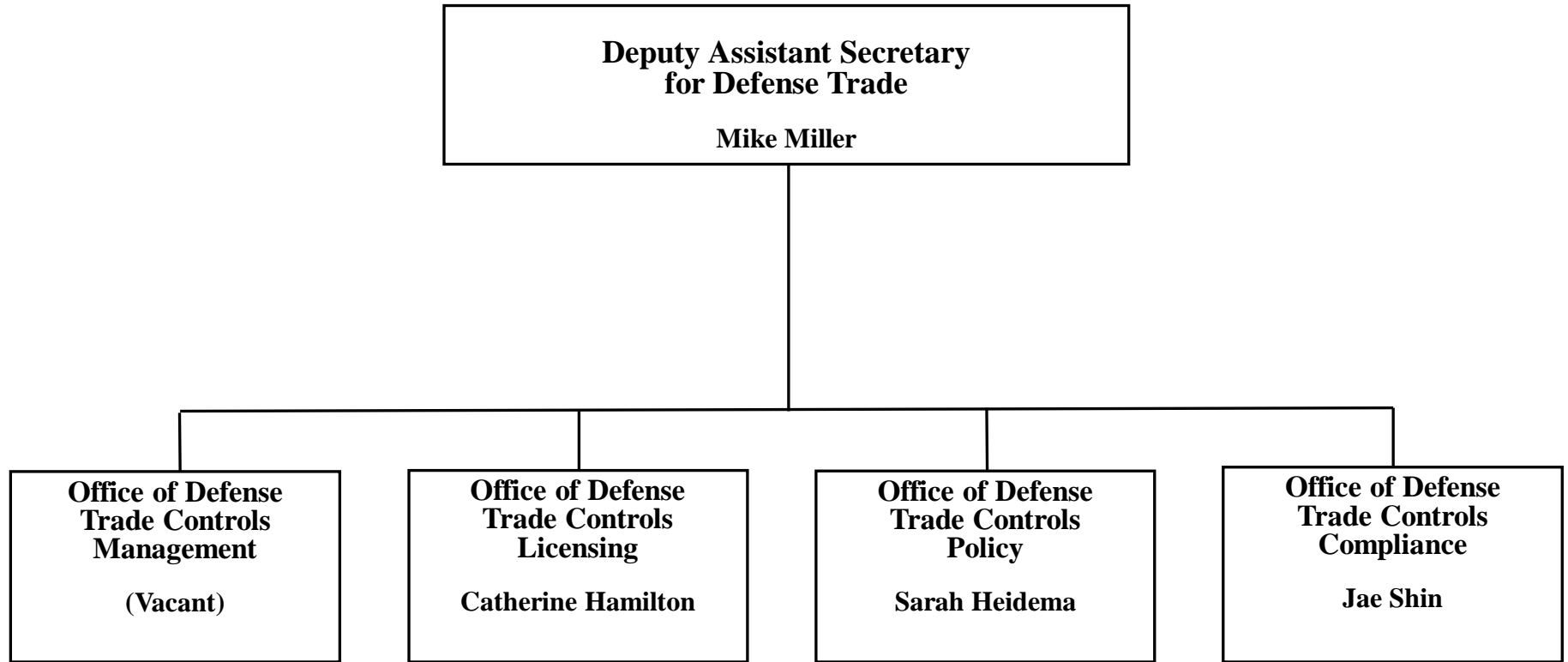
**Deputy Assistant Secretary,  
Regional Security &  
Security Assistance**  
Mira Resnick

**Deputy Assistant Secretary,  
Defense Trade**  
Mike Miller

**Sr. Advisor for  
Security Negotiations &  
Agreements**  
Donna Welton



# Directorate of Defense Trade Controls





# DDTC Mission Statement



Ensure commercial exports of defense articles and defense services advance U.S. **national security** and **foreign policy objectives**.



# Trade Channels / Authorities



## Commercial

*(Direct Commercial Sales)*

- International Traffic in Arms Regulations (ITAR)
  - United States Munitions List (USML)
- Export Administration Regulations (EAR)
  - Commerce Control List (CCL) (“dual use” and “600 Series” munitions)

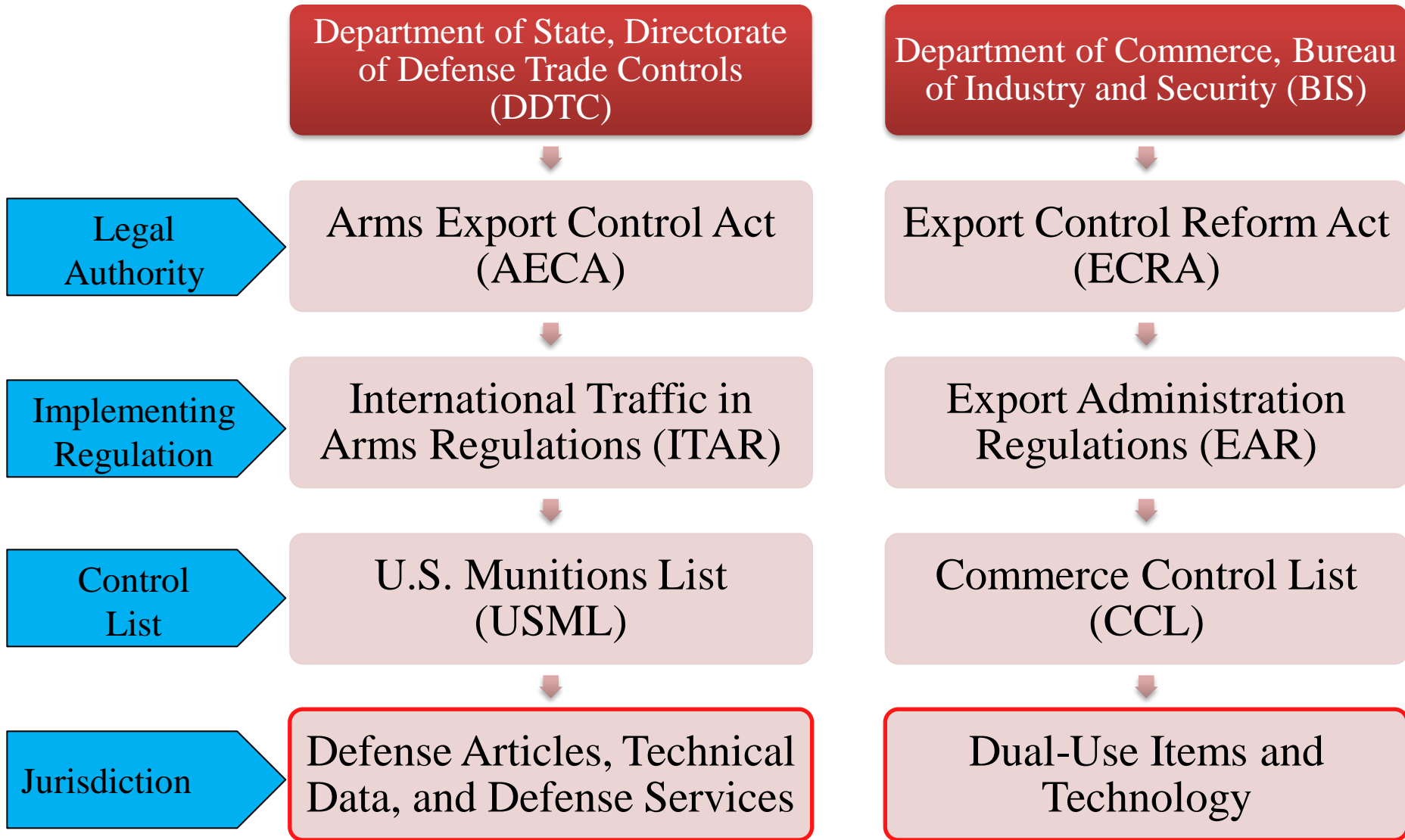
## Government-to-Government

- Foreign Military Sales (FMS)
- Foreign Military Financing (FMF)
- Security Assistance

*Conventional Arms Transfer Policy*



# DCS: State vs. Commerce Control





# U.S. Munitions List (USML) Categories



- |      |                              |       |                                  |
|------|------------------------------|-------|----------------------------------|
| I    | Firearms                     | XII   | Fire Control, Laser, Imaging     |
| II   | Guns and Armament            | XIII  | Materials and Misc. Articles     |
| III  | Ammunition/Ordnance          | XIV   | Toxicological Agents             |
| IV   | Missiles, Rockets, Torpedoes | XV    | Spacecraft and Related Articles  |
| V    | Explosives, Propellants      | XVI   | Nuclear Weapons Related Articles |
| VI   | Naval Vessels                | XVII  | Classified Articles              |
| VII  | Tanks & Vehicles             | XVIII | Directed Energy Weapons          |
| VIII | Aircraft                     | XIX   | Gas Turbine Engines              |
| IX   | Military Training & Equip.   | XX    | Submersible Vessels              |
| X    | Protective Personnel Equip.  | XXI   | Miscellaneous Articles           |
| XI   | Military Electronics         |       |                                  |



# Transfers That Require DTCL Authorization



- Permanent exports of defense articles
- Temporary exports of defense articles
- Re-exports and re-transfers of defense articles
- Temporary import of defense articles to the U.S.
- Furnishing of defense services
- Technical Assistance Agreements
- Manufacturing License Agreements
- Warehouse and Distribution Agreements

(Permanent imports: DOJ/ATF jurisdiction)





# Life Cycle of an Export Authorization



## Administrative Review

- DDTC/Licensing: License Documentation & Administrative Review
- DDTC/Policy: Watch List Review & End Use Monitoring (foreign focus)
- DDTC/Compliance: Watch List Review, Debarred Parties, Law Enforcement (U.S. focus)

## Interagency Review

- State: Stability and balance-of-power, regional bureaus, human rights, others as necessary
- DoD: Defense Technology Security Administration, military services, others as necessary
- Other Interagency: Department of Energy, Missile Technology Export Committee, NASA, etc.

## Notifications and Assurances

- Congressional Notification (if required)
- Bilateral Assurances (if required)

## Final Review and Issuance

- DDTC/Licensing: Proviso application, final administrative review, quality control processes



# Reexports / Retransfers / Third Party Transfers



- U.S. jurisdiction does not end after initial export
- DCS context: Authorization from DDTC required for re-exports or retransfers
- FMS context: Authorization from PM/RSAT required for third party transfers



# Reference Material



**DDTC Website:** <https://www.pmddtc.state.gov>

**DDTC Customer Service:** [DDTCCustomerService@state.gov](mailto:DDTCCustomerService@state.gov)

**International Traffic in Arms Regulations:**

<https://www.ecfr.gov/current/title-22/chapter-I/subchapter-M>



# Questions?



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